

Message Text

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ORIGIN ARA-08

INFO OCT-01 ADP-00 SS-14 NSC-10 NSCE-00 CIAE-00 INR-09

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DRAFTED BY ARA/ CEN/ CR: SHLIPPE: SLC

3/15/73 EXT 20848

APPROVED BY ARA/ CEN: DLAZAR

SEC: TVONSTEIN (INFO)

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R 152052 Z MAR 73

FM SECSTATE WASHDC

TO AMEMBASSY SAN JOSE

UNCLAS STATE 048042

LIMDIS

E. O. 11652: N/ A

TAGS: PGOV, EFIN, CS

SUBJECT: REQUEST FOR INFORMATIONAL MATERIALS ON SEC

REF: SAN JOSE 804

1. IN RESPONSE REFTEL, FOLLOWING INFORMATION PROVIDED
FOR YOUR USE.

2. THE SECURITIES AND EXCHANGE COMMISSION (SEC) WAS
CREATED BY AN ACT OF CONGRESS ENTITLED THE SECURITIES
EXCHANGE ACT OF 1934. IT IS AN INDEPENDENT, BIPARTISAN,
QUASI- JUDICIAL AGENCY OF THE UNITED STATES GOVERNMENT.

THE COMMISSION ADMINISTERS SEVERAL STATUTES IN THE
GENERAL FIELD OF SECURITIES AND FINANCE , ALL ENACTED
BY CONGRESS FOR THE PROTECTION OF THE INTERESTS OF
INVESTORS AND THE PUBLIC.

THE LAWS ADMINISTERED BY THE COMMISSION RELATE IN
GENERAL TO THE FIELD OF SECURITIES AND FINANCE, AND
SEEK TO PROVIDE PROTECTION AGAINST FRAUD AND OTHER
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MISCONDUCT FOR INVESTORS AND THE PUBLIC IN THEIR SECURITIES
TRANSACTIONS.

ORGANIZED JULY 2, 1934, THE COMMISSION IS COMPOSED OF
FIVE MEMBERS NOT MORE THAN THREE OF WHOM MAY BE MEMBERS

OF THE SAME POLITICAL PARTY. THEY ARE APPOINTED BY THE
PRESIDENT, WITH THE ADVICE AND CONSENT OF THE SENATE,
FOR 5- YEAR TERMS, THE TERMS BEING STAGGERED SO THAT
ONE EXPIRES ON JUNE 5 TH OF EACH YEAR. THE CHAIRMAN IS
DESIGNATED BY THE PRESIDENT.

THE COMMISSION REPORTS ANNUALLY TO THE CONGRESS. THESE
REPORTS CONTAIN A REVIEW OF THE COMMISSION' S ADMINIS-
TRATION OF THE SEVERAL LAWS.

3. WE STILL ATTEMPTING LOCATE SPECIFIC CASE OF MICHAEL
RUSH DEVEERS MINING AND WILL POUCH ASAP. OTHER MATERIALS
ON SEC BEING POUCHED. ROGERS

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*** Current Handling Restrictions *** LIMDIS
*** Current Classification *** UNCLASSIFIED

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